

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER POR PATENTS PO Box 1450 Alcassackin, Virginia 22313-1450 www.oepic.gov

APPLICATION NO.	TION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/567,986	07/05/2007	Joseph Lanzarotta	P03040US2A	7510
48985 BRIDGESTON	7590 06/03/201 NE AMERICAS, INC.	0	EXAM	IINER
1200 FIRESTO	ONE PARKWAY		CHEUNG, V	WILLIAM K
AKRON, OH	14317		ART UNIT	PAPER NUMBER
			1796	
			NOTIFICATION DATE	DELIVERY MODE
			06/03/2010	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail  $\,$  address(es):

iplawpat@bfusa.com

# Office Action Summary

Application No.	Applicant(s)	
10/567,986	LANZAROTTA ET AL.	
Examiner	Art Unit	
WILLIAM K. CHEUNG	1796	

The MAILING DATE of this communication appears on the Period for Reply	e cover sheet with the correspondence address
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO WHICHEVER IS LONGER, FROM THE MAILING DATE OF THE Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no evaler SIX (6) MONTHS from the mailing date of this communication.	HIS COMMUNICATION.  ent, however, may a reply be timely filed
<ul> <li>If NO period for reply is specified above, the maximum statutory period will apply and w</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the app Any reply received by the Office later than three months after the mailing date of this co- earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	dication to become ABANDONED (35 U.S.C. § 133).
Status	
1) Responsive to communication(s) filed on 03 May 2010.	
2a) This action is FINAL. 2b) This action is r	non-final.
3) Since this application is in condition for allowance except closed in accordance with the practice under Ex parte Que	••
Disposition of Claims	
4)⊠ Claim(s) <u>1-20</u> is/are pending in the application.	
4a) Of the above claim(s) is/are withdrawn from co	ensideration.
5) Claim(s) is/are allowed.	
6)⊠ Claim(s) <u>1-20</u> is/are rejected.	
7) Claim(s) is/are objected to.	
8) Claim(s) are subject to restriction and/or election r	requirement.
Application Papers	
9) The specification is objected to by the Examiner.	
10) The drawing(s) filed on is/are: a) accepted or b	objected to by the Examiner.
Applicant may not request that any objection to the drawing(s)	be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is require	red if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by the Examiner. N	ote the attached Office Action or form PTO-152.
Priority under 35 U.S.C. § 119	
12) Acknowledgment is made of a claim for foreign priority un a) All b) Some * c) None of:	der 35 U.S.C. § 119(a)-(d) or (f).
1. Certified copies of the priority documents have been	en received.
2. Certified copies of the priority documents have been	en received in Application No
<ol> <li>Copies of the certified copies of the priority docum application from the International Bureau (PCT Ru</li> </ol>	
* See the attached detailed Office action for a list of the cert	
Attachment(s)	
1) Notice of References Cited (PTO-892)	Interview Summary (PTO-413)     Paper No(s) Mail Date

1)	M	Notice
----	---	--------

Attachment(s)		
Notice of References Cited (PTO-892)	Interview Summary (PTO-413)	
Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date	
3) Information Disclosure Statement(s) (FTO/SB/08)	Notice of Informal Patent Application	
Power Market Barton	e) Cothor	

Application/Control Number: 10/567,986 Page 2

Art Unit: 1796

#### DETAILED ACTION

 In view of the decision from the pre-appeal conference of May 27, 2010, the instant application is reopened for further prosecution to address the issue of claim 16.

 In view that the amendment filed March 10, 2010 has not been entered as indicated by the advisory action of March 19, 2010, the claims set filed November 10, 2009 is being examined with merit. Claims 1-20 are pending.

#### Claim Rejections - 35 USC § 112

- The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 4. Claim 16 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

In light of MPEP 2173.05(i), any claim containing a negative limitation which does not have basis in the original disclosure should be rejected under 35 U.S.C. 112, first paragraph as failing to comply with the written description requirement. Since applicants' original disclosure does not have any basis for the negative limitation

"exclusive of an alkali metal salt of an alkylsulphonic or alkylsulphuric acie" as claimed in claim 16, the rejection set forth under 35 U.S.C. 112, first paragraph is proper.

The examiner acknowledges that the examiner had withdrawn the 112 rejection of Claim 16 under 35 U.S.C. 112, first paragraph in the advisory action of March 19, 2010. However, the rejection is reinstated because applicants' specification (0009) fails to provide the negative limitation "exclusive of an alkali metal salt of an alkylsuphonic or alkylsulpuric acid" of claim 16. Applicants must recognize that "comprising a surfactant containing a sulfur atom and excluding alkali metal salt of an alkylsuphonic or alkylsulphuric acid" does not mean that the claimed composition is "exclusive of an alkali metal salt of an alkylsulphonic or alkylsulphuric acid". Therefore, the examiner has a reasonable basis to reinstate the rejection of claim 16 under 35 U.S.C. 112, first paragraph.

### Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- Claims 1-7, 11-12 are rejected under 35 U.S.C. 102(b) as being anticipated by Bruck et al. (DE 3501 697).

Application/Control Number: 10/567,986 Page 4

Art Unit: 1796

1. (Currently Amended) A rubber composition comprising

a. an elastomer selected from the group consisting of natural rubber, polyisoprene rubber, styrene butadiene rubber, polybutadiene rubber, butyl rubber, tallobutyl rubber, ethylene propylene rubber, crosslinked polyethylene rubber, neoprenes, chlorinated polyethylene rubbers, silicone rubbers, thermoplastic rubber and mixtures thereof:

b. one or more antidegradants; and

b.c. surfactant containing a thio functionality.

(Previously Presented) An elastomer based rubber composition comprising per 100 parts
of elastomer, about 0.02-10 parts of a surfactant containing a thio functionality.

(Currently Amended) An clastomer based rubber composition comprising per 100 parts
of elastomer, about 0.02-10 parts of em a thioother surfactant.

Bruck et al. disclose a rubber composition which comprises (A)100 parts by weight of a mixture of butadiene-acrylonitrile copolymer with different acrylonitrile content and a terpolymer of ethylene, propylene, and a diene (ethylidenenorbornene or hexa-1,4-diene) and (B)10 parts by weight of a ether-thioether such as Vulkanol 85® as described in example 1. Applicants' specification seems to indicate that the claimed chemical structure (page 5, line 25-30) is the preferable embodiment, which can be obtained from Bayer Corporation.

Beispiel 1				
Diesas Beispiel soll zeigen, daß ein NBR-EPDM-Verschnitt				
günstigers Pestigkeiten und dynas	mische Let	ensdauer		
(De Martia) aufweist, wenn die NE	BR-Kompone	ente aus zwei		
Nitrilkautschuken mit unterschied				
hergestellt worden ist (Angabe in	hargestellt worden ist (Angabe in GewTeilen).			
	1	2		
NBR I	17	-		
NBR II	-	60		
MSR III	43	-		
EPDM I	40	40		
Rus N 330	20	20		
Rus N 762	60	60		
2n0	5	5		
Sther-Thiosther 1)	10	10		
Alkyisulfonsäure- 2) alkyiphenylaster	10	10		
Styrolisiertes Diphenylamin	2	2.		
Sinkeels des 4- bzw. 5-Methyl- mercaptobensthiezols	3	3		
Stearinsdure	1	1		
Ce-Stearat	1	1		
Schwafel	1,8	1,8		
Senzthiazyl-2-cyclohexyl- sulfensmid	1,2	1,2		
1) verwendet wurde Vulkand	ol 85 der	Bayer %G		
2) verwendet words Volkano	ol SF der	Bayer AG		

Regarding the "functional groups" feature of claim 11, applicants must recognize that the nitrile group of butadiene-acrylonitrile copolymer possesses a nitrile functional group.

Regarding the "reinforcing filler" of claim 12, Bruck et al. (page 6, line 13) clearly disclose 5 weight percent of ZnO.

Art Unit: 1796

The obtained co-vulcanizates are suitable as pipe coverings, conveyor belt coverings, or drive belts (p.5, 1.4-5). They show a good resistance to ozone (p.3, 1.6; p.5, 1.2: p.10, 1.6). Consequently, the subject-matter of claims 1-7, 11-12 is anticipated.

Applicant's arguments filed May 3, 2010 have been fully considered but they are not persuasive. Applicants argue that it the advisory action March 19, 2010 indicate that Bataille et al. (page 1,0004) teach the use of waxes, not Bruck et al. and it is not proper to use to references in an anticipation rejection. Applicants fail to recognize that the advisory action is for addressing the questions raised by applicants' response of March 10, 2010. The rationale for the rejection of claims 1-7, 11-12 are still based on the final rejection of January 14, 2010. Applicants must recognize that claims 1-7, 11-12 as written do not contain "wax" as a limitation. Further, the "antidegradants" recited in claim 1, fails to indicate what type of materials are considered "antidegradants". Therefore, the examiner has a reasonable basis to believe that any of the components (for examples, NBR, carbon black, Zinc salt of methylmercaptobenzthiazole) disclosed in Bruck et al. (page 5) are "antidegradants" because Bruck et al. (page 3, line 3-6) clearly indicates that such blends would possess combination of ozone resistance, oil

Regarding applicants' argument that NBR is not a type of butadiene rubber because it contains acrylonitrile monomer in addition to butadiene, the argument is not persuasive because <u>a butadiene rubber is any rubber that contains butadiene</u>. Further, regarding applicants' argument that EPDM is not "a thermoplastic rubber" that is recited

Art Unit: 1796

in claim 1, applicants fail to recognize that EPDM meets the requirement "ethylene propylene rubber" and "thermoplastic rubber" of claim 1. Applicants must recognize that EPDM contains both ethylene and propylene to qualify it as "ethylene propylene rubber". Further, Yano et al. (US 2009/0047890, paragraph 0038), clearly refer EPDM as a thermoplastic by reciting "thermoplastic elastomers, such as EPDM and silicone rubber" in a publicly disclosed document.

[0038] The support body 2 described as above can be manufactured using typical molding methods, such as injection molding, compression molding, and cutting, by making the base portion 15, the mesa-like portion 14, and the leg portions 161 and 162 into one piece. From the viewpoint of moldability, it is preferable to use thermoplastic resin as a material of the support body 2. To be more concrete, thermoplastic resins, such as PBT (polybutylene terephthalate), PA (nylon), and PET (polyethylene terephthalate), and thermoplastic elastomers, such as EPDM (ethylene propylene diene rubber) and silicone rubber, are available. Materials of the support body 2

## Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- Resolving the level of ordinary skill in the pertinent art.
- Considering objective evidence present in the application indicating obviousness or nonobviousness.
- Claims 8-10, 12-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bataille et al. (US 2001/0051677) in view of Bruck et al. (DE 3501 697).
- (Previously Presented) A vulcanized tire sidewall comprising based on 100 part of elastomer about 0.02-10 parts of a surfactant containing a thio functionality.
- (Previously Presented) A tire comprising a vulcanized sidewall component comprising a surfactant containing a thio functionality.
- (Original) A method of forming a film on the exposed surface of vulcanized rubber, comprising:
  - adding about 0.02-10 parts of a surfactant containing a thio functionality to a rubber composition,
  - curing said rubber composition.
  - exposing the cured rubber composition to ozone.

Bataille et al. (page 7, claims 38-39) disclose a tire and the process for making the same, where the side walls of which comprise a vulcanized elastomer, and alkylsuphonic or alkylsulphuric acid (surfactant containing a thio functionality), in an amount of between 0.5 and 10 phr. Bataille et al. (page 2, 0027, Table) clearly teach the use of 1,5 phr of sulfur, 35 phr of natural rubber, and 60 phr of carbon black (fillers). The said process involves the exposing the tire side wall to ambient air having an ozone

Art Unit: 1796

concentration (for curing) to form a film having a thickness of equal to or greater than 0.5 microns formed on the outer face of the sidewall. Regarding the claimed "antidegradant comprising wax", Bataille et al. (page 1, 0004) disclose the advantage of incorporating waxes into the disclosed composition as a protective coating on the surface. Therefore, motivated by the expectation of success of forming a protective coating on the disclosed composition, it would have been obvious to one of ordinary skill in art to incorporate a wax (or antidegradant) into the composition of Bataille et al. to obtain the antidegradant or wax being claimed. Although Bataille et al. (page 1, 0006) teach that the surface migration of waxes can make the surface dull and gray or even whitish, one of ordinary skill in art recognize and appreciate the value of a protective coating on the surface over the surface appearance when the surface appearance is not required or desired.

The difference between Bataille et al. and claims 8-10, 12-20 is that Bataille et al. are silent on a thio compound.

Bruck et al. discloses a rubber composition which comprises (A) a rubber and (B) a ether-thioether such as Vulkano185® (example 1). The obtained co-vulcanizates, suitable as pipe coverings, conveyor belt coverings, or drive belts (p.5, 1.4-5), show a good resistance to ozone (page 3, 1.6; page 5, 1.2; page 10, 1.6). Motivated by the expectation developing rubber composition with ozone resistance properties, it would have been obvious to the skilled in the art to incorporate the ether-thioether teachings of Bruck et al. into the rubber composition of Bataille et al. to obtain the invention of claims 8-10, 12-20.

Art Unit: 1796

In view of the 112 rejection of claim 16, the rationale set forth is adequate for the rejection of claim 16.

Applicant's arguments filed May 3, 2010 have been fully considered but they are not persuasive. Although applicants continue to argue that EPDM is a thermoset in the argument filed May 3, 2010 and the affidavits filed April 14, 2010, applicants fail to recognize that the use of affidavits can not be used to alter fact that EPDM is a thermoplastic, not a thermoset. Applicants must recognize that Yano et al. (US 2009/0047890, paragraph 0038) clearly refer EPDM as a thermoplastic.

Regarding applicants' argument that the rejection is made or should be made with Bruck et al. as a primary reference in view of Battaille et al., applicants fail to recognize that the examiner rejects claims 8-10, 12-20 under 35 U.S.C. 103(a) as being unpatentable over <u>Bataille et al. (US 2001/0051677)</u> in view of <u>Bruck et al. (DE 3501 697)</u>, in the office action issued on January 14, 2010. <u>Applicants do not have any basis to alter the reasons that the examiner has applied for the rejection set forth of claims 8-10, 12-20</u>.

The examiner uses Bataille et al. as a primary reference because it contains most of the features being claimed, including the "wax". Regarding motivation, Bruck et al. disclose a rubber composition which comprises (A) a rubber and (B) a etherthioether such as Vulkano185® (example 1). The obtained co-vulcanizates, suitable as pipe coverings, conveyor belt coverings, or drive belts (p.5, 1.4-5), show a good resistance to ozone (page 3, 1.6; page 5, 1.2; page 10, 1.6). Motivated by the expectation developing rubber composition with ozone resistance properties, it would

Art Unit: 1796

have been obvious to the skilled in the art to incorporate the ether-thioether teachings of Bruck et al. into the rubber composition of Bataille et al. to obtain the invention of claims 8-10, 12-20.

Since applicants fail to provide any argument relating to the rejection of claims 810, 12-20 under 35 U.S.C. 103(a) as being unpatentable over <u>Bataille et al. (US</u>
2001/0051677) in view of <u>Bruck et al. (DE 3501 697)</u>, the rejection set forth is proper.

To obtain a valid patent, applicants are advised not to modify the reasons applied by the examiner for the rejection of instant claims because such actions would make the prosecution history of instant application to become "confusing".

In view of the reasons set forth above, the rejections set forth are maintained.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to WILLIAM K. CHEUNG whose telephone number is (571)272-1097. The examiner can normally be reached on Monday-Friday 9:00AM to 2:00PM; 4:00PM to 8:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David WU can be reached on (571) 272-1114. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/William K Cheung/ Primary Examiner, Art Unit 1796

William K. Cheung, Ph. D. Primary Examiner May 27, 2010